

投資者/股票交收指示表格

Investor / Securities Settlement Instruction (I.S.I. / S.I.) Form

帳戶名稱 Account Name: _____

帳戶號碼 Account No.: _____

本人/吾等特此通知貴公司 I/We hereby instruct your Company to:

☐ 將下列股票由以下有關帳戶**存入**本人/吾等在貴公司持有之證券帳戶
RECEIVE from the respective account as specified below the following securities for my/our securities account in your Company

☐ 由本人/吾等在貴公司持有之證券帳戶**交付**下列股票予以下有關帳戶
DELIVER the following securities from my/our securities account in your Company to the respective account as specified below

並透過中央結算及交收系統，按以下內容執行交收指示：

via Central Clearing and Settlement System (CCASS) to effect the settlement in accordance with the following details:

對手經紀名稱： Name of Counter-party:		對手經紀/投資者中央結算代號： Counter-party/Investor CCASS I.D. No.:	
聯絡人姓名 Contact Person:		聯絡電話 Tel. No.:	交收日期 Settlement Date:
股票號碼 Stock Code	股票名稱 Stock Name	股票數量 No. of Shares	交收方式 Settlement Method
			<input type="checkbox"/> 無須付款 Free of Payment <input type="checkbox"/> 貨銀對付 Against Payment of (港幣 HK\$ _____)
			<input type="checkbox"/> 無須付款 Free of Payment <input type="checkbox"/> 貨銀對付 Against Payment of (港幣 HK\$ _____)
			<input type="checkbox"/> 無須付款 Free of Payment <input type="checkbox"/> 貨銀對付 Against Payment of (港幣 HK\$ _____)

證券調動至中央結算系統投資者戶常設授權 Standing Authorization for Investor Settlement Instruction to CCASS Investor Account

☐ 本人/吾等要求貴公司今後將所有存於貴公司的證券轉交予本人/吾等之中央結算系統投資者戶(投資者中央結算代號: _____)(下稱“指定戶口”)，所有此等交付指示必須獲得電話確認後方會被執行。本人/吾等現提供有關文件證明該指定戶口為本人/吾等所持有(包括但不限於已填妥及簽署的投資者戶口開立申請表及其確認通知書的複印本)以便貴公司考慮此申請。I/We hereby request your Company from now on to pass onto all of my/our securities held in your Company to my/our CCASS Investor Account (Investor CCASS I.D. No.: _____) (“Designated Account”). Before effecting every such delivery instruction, a telephone confirmation for the relevant stock delivery has to be obtained. For substantiating the Designated Account is held by me/us, I/we also provide herewith the relevant documents (including but not limited to a copy of duly completed and signed account opening application form of the Designated Account & its confirmation) for your consideration.

本人/吾等確認上述股票的實益擁有權並沒有因進行本交收指示而出現變動。本人/吾等亦承諾就本交收指示所可能令貴公司蒙受或承擔的任何費用或開支，當中包括但不限於印花稅，向貴公司作出彌償及使之獲得彌償。I/We confirm that there is no change in beneficial ownership through effecting this settlement instruction. I/We also undertake to indemnify and keep your Company indemnified in respect of any costs and expenses, including but not limited to stamp duty, whatsoever which may be suffered or incurred by you in connection with this settlement instruction.

備註 Remarks:

- 請於合適方格內✓ Please✓the appropriate box
- 如未有註明，交收數額則根據有關交易之款項作交收 If not specified, the settlement amount shall adopt the relevant transaction value
- 如欲即日完成交收程序，務必於當日 **下午二時** 前填妥此文件電郵或傳真至本公司 Instructions for same day settlement have to be reached our Company before **2:00 p.m.** on the settlement day

客戶簽署 Client's Signature(s)

日期 Date (日 DD /月 MM /年 YYYY)

For Official Use Only 只供本行使用					
	Signature Verified by	Handled by	Inputted by	Reviewed by	Approved by
Initial					
Date					